

EXHIBIT 4

Lisa N. Himes

From: Lisa N. Himes
Sent: Wednesday, July 17, 2019 4:43 PM
To: Oval, Jeffery L.
Cc: foiapa
Subject: RE: FOIA Request Clarified

Jeffery,

Yes, your email is correct with the following correction – the items listed in 1-5 for each request are companies and individuals, not just companies. It may be easier if you correct your email below to note that they are both companies and individuals.

Your clarification of the request simply states that the communications or records requested in the FOIA request are emails. The rest of the request is the same. When you say “the SEC” that should include all SEC agents, etc., as described in the FOIA request.

Thanks,
Lisa

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From: Oval, Jeffery L. <OvalJ@SEC.GOV>
Sent: Wednesday, July 17, 2019 4:06 PM
To: Lisa N. Himes <LHimes@rjo.com>
Cc: foiapa <foiapa@sec.gov>
Subject: FOIA Request Clarified

Good afternoon, Lisa. Here's the email confirmation we discussed on the phone earlier today. In accordance with our phone conversation, your FOIA request (attached) is clarified to be for the following records. Please email me confirmation that my understanding is correct. Thanks

- Emails dated from January 1, 2013 through the present:

A. Between Genentech-Roche and the SEC referencing the below companies.

1. Nova Oculus Partners, LLC,
2. The Eye Machine, LLC,
3. Peter Pocklington,
4. Lantson E. Eldred, and
5. AMC Holdings Co., LLC

B. Between Regeneron Pharmaceuticals, Inc. and the SEC referencing the below companies.

1. Nova Oculus Partners, LLC,
2. The Eye Machine, LLC,
3. Peter Pocklington,
4. Lantson E. Eldred, and
5. AMC Holdings Co., LLC

C. Between Novartis US and the SEC referencing the below companies.

1. Nova Oculus Partners, LLC,
2. The Eye Machine, LLC,
3. Peter Pocklington,
4. Lantson E. Eldred, and
5. AMC Holdings Co., LLC

D. Between Alcon and the SEC referencing the below companies.

1. Nova Oculus Partners, LLC,
2. The Eye Machine, LLC,
3. Peter Pocklington,
4. Lantson E. Eldred, and
5. AMC Holdings Co., LLC

E. Between Bausch & Lomb and the SEC referencing the below companies.

1. Nova Oculus Partners, LLC,
2. The Eye Machine, LLC,
3. Peter Pocklington,
4. Lantson E. Eldred, and
5. AMC Holdings Co., LLC

F. All emails from January 1, 2013 through the present, referencing the below companies.

1. Nova Oculus Partners, LLC,
2. The Eye Machine, LLC,
3. Peter Pocklington,
4. Lantson E. Eldred, and
5. AMC Holdings Co., LLC

Jeff Ovall

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